

# The Role of Rough Topography in Mediating Impacts of Bottom Drag in Eddying Ocean Circulation Models

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## ABSTRACT

24 Motivated by the substantial sensitivity of eddies in two-layer quasi-  
25 geostrophic (QG) turbulence models to the strength of bottom drag, this study  
26 explores the sensitivity of eddies in more realistic ocean general circulation  
27 model (OGCM) simulations to bottom drag strength. The OGCM results are  
28 interpreted using previous results from horizontally homogeneous, two-layer,  
29 flat-bottom, f-plane, doubly periodic QG turbulence simulations and new re-  
30 sults from two-layer  $\beta$ -plane QG turbulence simulations run in a basin ge-  
31 ometry with both flat and rough bottoms. Baroclinicity in all of the simula-  
32 tions varies greatly with drag strength, with weak drag corresponding to more  
33 barotropic flow and strong drag corresponding to more baroclinic flow. The  
34 sensitivity of the baroclinicity in the QG basin simulations to bottom drag is  
35 considerably reduced, however, when rough topography is used in lieu of a flat  
36 bottom. Rough topography reduces the sensitivity of the eddy kinetic energy  
37 amplitude and horizontal length scales in the QG basin simulations to bottom  
38 drag to an even greater degree. The OGCM simulation behavior is qualita-  
39 tively similar to that in the QG rough bottom basin simulations in that baro-  
40 clinicity is more sensitive to bottom drag strength than are eddy amplitudes or  
41 horizontal length scales. Rough topography therefore appears to mediate the  
42 sensitivity of eddies in models to the strength of bottom drag. The sensitiv-  
43 ity of eddies to parameterized topographic internal lee wave drag, which has  
44 recently been introduced into some OGCMs, is also briefly discussed. Wave  
45 drag acts like a strong bottom drag in that it increases the baroclinicity of the  
46 flow, without strongly affecting eddy horizontal length scales.

## 47 1. Introduction

48 This study focuses on the impact of frictional bottom boundary layer drag (“bottom drag” here-  
49 after) on the statistics of the midocean eddy field, where eddies are defined as deviations from a  
50 time-mean. The focus on bottom drag is motivated by the substantial sensitivity of eddy statistics  
51 to bottom drag strength documented in numerous studies of flat-bottom quasi-geostrophic (QG)  
52 turbulence (*Salmon*, 1978, 1980; *Haidvogel and Held*, 1980; *Larichev and Held*, 1995; *Özgökmen*  
53 *and Chassignet*, 1998; *Riviere et al.*, 2004; *Arbic and Flierl*, 2004; *Thompson and Young*, 2006;  
54 *Arbic et al.*, 2007; *Arbic and Scott*, 2008; *Straub and Nadiga*, 2014). A consistent finding in such  
55 studies is that weak bottom drag leads to a vigorous inverse cascade yielding a strongly barotropic  
56 and energetic eddy field characterized by horizontal length scales significantly larger than the first  
57 baroclinic mode deformation radius ( $L_d$ ). Computations of spectral kinetic energy fluxes made  
58 from satellite altimetry, idealized models, and realistic ocean general circulation model (OGCM)  
59 simulations (e.g., *Scott and Wang*, 2005; *Scott and Arbic*, 2007; *Schlösser and Eden*, 2007; *Qiu et*  
60 *al.*, 2008; *Tulloch et al.*, 2011; *Arbic et al.*, 2013, 2014; *Straub and Nadiga*, 2014) suggest that an  
61 inverse cascade to larger spatial scales is ubiquitous in the surface ocean. Indications are, however,  
62 that the inverse cascade proceeds over a relatively narrow range of oceanic length scales. Accord-  
63 ingly, observations demonstrate that the oceanic mesoscale eddy field lies far from the weak-drag  
64 limit of flat-bottom QG turbulence. For example, *Wunsch* (1997) finds that oceanic eddies are not  
65 strongly barotropic – instead, the kinetic energy levels in barotropic and first baroclinic modes are  
66 comparable. *Stammer* (1997) finds that length scales of ocean eddies are not much greater than  $L_d$   
67 – instead, they are only slightly greater. *Arbic and Flierl* (2004) and *Arbic and Scott* (2008) argued  
68 that the “moderate” drag regime of QG turbulence (in between the weak drag and very strong drag  
69 limits) compared best to observations. However, it must be noted that most of the geostrophic tur-

70 bulence studies above are highly idealized, typically assuming not only QG dynamics, but in some  
71 cases also assuming horizontal homogeneity, zonal mean flows, a flat bottom, f-plane dynamics,  
72 and/or a severe truncation of vertical resolution to two layers. In some studies, the stratification  
73 is further simplified to consist of two layers of equal depths, thus precluding examination of the  
74 effects of surface-intensified stratification. The question therefore arises as to whether the sensi-  
75 tivities to bottom drag seen in the simple QG models used in many previous studies would also  
76 arise in more complex models such as high-resolution ocean general circulation models.

77 More realistic OGCMs have rough topography, non-zonal mean flows, the planetary  $\beta$ -effect,  
78 surface-intensified stratification, ageostrophic dynamics, many layers in the vertical direction (not  
79 just two), and stratification and mean flows that vary in the horizontal direction. Any one of these  
80 factors could alter the sensitivity of eddy statistics to bottom drag. For example, *Brüggemann and*  
81 *Eden* (2015) have demonstrated that the routes to energy dissipation associated with ageostrophic  
82 and quasi-geostrophic flows are qualitatively different, with the energy flux towards smaller scales  
83 in ( $O(1)$  Rossby number) ageostrophic dynamics and towards larger scales in geostrophic turbu-  
84 lence. Increased vertical resolution implies that a lesser fraction of the water column will directly  
85 feel the effects of bottom drag, such that the sensitivity of eddy statistics to bottom drag is likely  
86 to be impacted. Horizontal inhomogeneities in more realistic models provide a more realistic  
87 environment for eddy evolution, and this may also affect eddy statistics (*Merryfield* 1998). *Ve-*  
88 *naille et al.* (2011) examined horizontally homogeneous QG turbulence simulations with a surface-  
89 intensified stratification, several layers in the vertical direction, imposed mean flows that project  
90 onto higher vertical modes, non-zonal mean flows, and the planetary  $\beta$ -effect. Similar to earlier  
91 studies, which often did not include many of these effects, they also found a strong sensitivity of  
92 the model eddy field to bottom drag strength (see their Table 2). Topographic effects, however,  
93 were not considered in their study, whereas it is well known that topography can profoundly influ-

ence the eddy field (*Rhines* 1970, 1977; *Treguier and Hua* 1988; *Treguier and McWilliams* 1990; *Dewar* 1998; *Sinha and Richards* 1999; *LaCasce and Brink* 2000; *Benilov et al.* 2004; *Hurlburt et al.* 2008; *Thompson* 2010; *Boland et al.* 2012; *Venaille* 2012; *Chen and Kamenkovich* 2013; *Abernathy and Cessi* 2014; *Stewart et al.* 2014; *Chen et al.* 2015). Some of these topographic effects involve small vertical length scales and are thus poorly represented in ocean general circulation models, which typically concentrate vertical resolution near the surface. One result of particular interest from the studies mentioned above is that topography can facilitate a downward transfer of energy (*Venaille* 2012). Note that at (forced-dissipated) statistical equilibrium, this need not imply a strong bottom intensification of kinetic energy because kinetic energy is continually input by the forcing and abyssal energy is removed by bottom friction.

Two additional factors typically absent in idealized studies, but that might also influence ocean eddy statistics, are internal lee waves and topographic blocking (together referred to as “wave drag” hereafter). Interest in wave drag, as a contributor to the oceanic energy budget and a potentially important addition to ocean model dynamics, has grown rapidly in recent years. The internal lee wave contribution to wave drag is the momentum flux due to wave generation over certain topographic length scales. The topographic blocking contribution to wave drag occurs when the streamline is parallel to the seafloor, and characterizes the hydraulic effects, low-level breaking, vortex shedding, flow separation, and low-level jets (*Baines*, 1995) that occur when flow impinges upon a topographic feature. Using a closure first developed by *Garner* (2005), *Trossman et al.* (2015) compared predictions of dissipation profiles in the Southern Ocean with microstructure profiler observations, and argued that the topographic blocking contribution to wave drag dominates the dissipation in the bottom 1000 meters. *Trossman et al.* (2013, 2016) found more than 0.4 TW of low-frequency mechanical energy dissipation associated with the combination of internal lee wave generation/breaking and topographic blocking in a model run with the *Garner* (2005)

118 wave drag parameterization. *Nikurashin and Ferrari (2011)*, *Scott et al. (2011)*, and *Wright et al.*  
119 *(2014)* all estimate that breaking internal lee waves dissipate at least 0.2 TW of low-frequency  
120 mechanical energy, comparable to the amount (0.1 – 0.2 TW) of dissipation estimated to occur  
121 via bottom drag (*Sen et al. 2008*; *Arbic et al. 2009*; *Trossman et al. 2013*; *Wright et al. 2013*;  
122 *Trossman et al. 2016*). Internal lee waves have also been found to be important in the momentum  
123 and vorticity budgets (*Naveira-Garabato et al. 2013*).

124 Wave drag parameterizes ageostrophic effects and can be thought of as distinct from form drag.  
125 The latter is a correlation between bottom pressure and topographic slope. It can be thought of  
126 in terms of geostrophic dynamics and is known to be particularly important in the Antarctic Cir-  
127 cumpolar Current (ACC). Without form drag, closing the zonal momentum budget of the ACC in-  
128 volves either very large bottom drag or very large circumpolar transports (e.g., *Olbers et al. (2004)*  
129 and many others). In this context, recent work has explored the combined roles of bottom drag  
130 and topography in ACC settings. Various studies (*Hogg and Blundell 2006*; *Nadeau and Straub*  
131 *2012*; *Nadeau and Ferrari 2015*) have shown circumpolar transport to increase with bottom drag.  
132 This can be easily understood in the strong drag limit of the quasi-geostrophic equations. In this  
133 limit, abyssal velocities are weak, so that the bottom layer streamfunction (equivalent to pressure  
134 in quasi-geostrophy) becomes near-constant. As such, form drag is diminished and circumpolar  
135 transport is increased. Primitive equation models also show transport to increase as bottom drag  
136 coefficients are made large, although it is likely that the degree to which circumpolar transport  
137 depends on the bottom drag may be related to the complexity of the bottom topography and may  
138 be less than implied by these idealized studies (e.g., *Nadeau et al., 2013*; *Nadeau and Ferrari,*  
139 *2015*).

140 In this study, we compare eddy statistics across realistic high-resolution OGCM simulations  
141 with varying strengths of bottom drag. For simplicity, the OGCM simulations analyzed here do



142 not include tides. In order to tease out the sensitivities very clearly, we vary the bottom drag  
 143 coefficient  $C_d$  by a large factor ( $\sim 500$ ). Estimates of  $C_d$  values in the ocean vary by much less  
 144 than that. Observations of boundary layer turbulence suggest  $C_d$  values of about 0.0025, with an  
 145 uncertainty of a factor of about 3 in either direction (*Weatherly, 1975; Trowbridge et al., 1999;*  
 146 *Trowbridge and Elgar, 2001; Feddersen et al., 2003*). We focus here on the statistics that *Arbic*  
 147 *and Flierl* (2004) and *Arbic and Scott* (2008) focused upon – eddy baroclinicity or “vertical struc-  
 148 ture,” eddy horizontal length scales, and eddy amplitudes – in their examination of the impact of  
 149 bottom drag on two-layer flat-bottom QG turbulence. We compare the OGCM sensitivities to bot-  
 150 tom drag with the sensitivities seen in previous studies of horizontally homogeneous, two-layer,  
 151 flat-bottom, f-plane, doubly periodic QG turbulence, and the sensitivities seen in new two-layer,  
 152  $\beta$ -plane QG basin turbulence runs with both flat-bottom and rough-bottom conditions. Compar-  
 153 ison of the multi-layer OGCM versus two-layer QG simulations may potentially shed light on  
 154 the importance of ageostrophic effects and vertical resolution. Comparison of the horizontally  
 155 homogeneous versus basin QG simulations may shed light on the importance of flow inhomo-  
 156 geneities. Comparison of the flat-bottom versus rough-bottom QG basin simulations illuminates  
 157 the importance of rough bottom topography in setting the sensitivity of eddying flows to bottom  
 158 drag strength. Motivated by the growing interest in wave drag, this paper will briefly discuss the  
 159 impact of wave drag upon eddy statistics by examining the OGCM simulations run with wave drag  
 160 in *Trossman et al.* (2013, 2016). We note that *Hurlburt and Hogan* (2008) also did simulations  
 161 of an OGCM with varying values of bottom drag. They used an OGCM (the Naval Research  
 162 Laboratory’s Layered Ocean Model, NLOM) that is in a realistic domain, albeit with a number  
 163 of simplifications relative to HYCOM. *Hurlburt and Hogan* (2008) focused on the response of  
 164 western boundary current dynamics to bottom drag rather than on the impact of bottom drag on  
 165 the inverse cascade of geostrophic turbulence.

166 The present paper is organized as follows. We first describe the high-resolution OGCM sim-  
 167 ulations, carried out in both Atlantic Ocean and global domains assuming different bottom drag  
 168 parameter values, and in the global domain with and without wave drag. We then describe the  
 169  $\beta$ -plane QG basin simulations, carried out in a midlatitude double gyre setting —with and without  
 170 rough topography and assuming different values for a bottom drag parameter. We also briefly dis-  
 171 cuss the setups for the *Arbic and Flierl* (2004) and *Arbic and Scott* (2008) two-layer, flat-bottom,  
 172 horizontally homogeneous QG turbulence simulations that we will use here. We next describe  
 173 various diagnostics used to measure the baroclinicity, amplitudes, and horizontal length scales of  
 174 midocean eddies. Finally, we discuss the impact of bottom drag on eddy statistics in the QG and  
 175 OGCM simulations, and the impact of wave drag on eddies in OGCM simulations. The diagnos-  
 176 tics and results sections use some current meter observations and satellite altimeter products for  
 177 comparison to the OGCM results. We end with some concluding remarks about the implications  
 178 of this study.

## 179 **2. Model configurations**

180 The nominally  $1/12^\circ$  and  $1/25^\circ$  HYbrid Coordinate Ocean Model (HYCOM; *Bleck, 2002*;  
 181 *Chassignet et al., 2003*; *Halliwel, 2004*) simulations are on a tripole Mercator grid and have  
 182 32 hybrid layers in the vertical direction. HYCOM smoothly transitions between different vertical  
 183 coordinates, depending on the relative strengths of the coordinates in different oceanic regimes  
 184 (*Griffies et al. 2000*; *Chassignet et al. 2006*). The vertical coordinates are isopycnal in the subsur-  
 185 face open ocean, z-level in the open ocean mixed layer, and terrain-following in shallow regions.  
 186 The performance of HYCOM without wave drag has been evaluated extensively in the North At-  
 187 lantic (*Xu et al., 2016*, and several references therein), in the North Pacific (*Kelly et al. 2007*), in  
 188 the Indian Ocean (*Srinivasan et al. 2009*), and across the entire World Ocean (*Chassignet et al.*

189 2009; *Thoppil et al.* 2011). The performance of HYCOM with wave drag has been evaluated by  
190 *Trossman et al.* (2016) across the entire World Ocean.

191 We now discuss the vertical and horizontal eddy viscosity parameterizations in HYCOM. The  
192 K-Profile Parameterization (KPP; *Large et al.*, 1994) yields relatively strong vertical mixing in  
193 the mixed layer, with a smooth transition to weaker vertical mixing below. Background mixing is  
194 typically used in deep water with an assumed Prandtl number of three so that the vertical viscosity  
195 is a factor of three larger than the vertical diffusivity. Shear instability mixing is typically used  
196 in the mixed layer with an assumed Prandtl number of one. The horizontal viscosity includes the  
197 maximum of a *Smagorinsky* (1993) parameterization or Laplacian term with an additional bihar-  
198 monic term (*Chassignet and Garraffo* 2001; *Chassignet and Marshall* 2008). Horizontal viscosity  
199 smooths out subgrid-scale noise. Here, “horizontal” means following a vertical coordinate layer.

200 For the global  $1/25^\circ$  runs, we begin with a simulation that is spun-up using  $1.125^\circ \times 1.125^\circ$  Eu-  
201 ropean Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA-40) monthly  
202 mean forcing over 1978-2002 (*Kallberg et al.* 2004; *Uppala et al.* 2005), supplemented with higher  
203 frequencies. Six-hourly anomalies with respect to monthly means from the 2003 fields of the Navy  
204 Operational Global Atmospheric Prediction System (NOGAPS; *Rosmond et al.*, 2002) are added  
205 to the ERA-40 climatological wind forcing. The six-hourly winds are used during every model  
206 year in this way.

207 The global  $1/25^\circ$  HYCOM simulation described above is first spun-up from rest for thirteen  
208 years using a value of the bottom drag coefficient ( $C_d = 2.5 \times 10^{-3}$ ) that is designated as “mid”  
209 hereafter. The mid  $C_d$  value is the reference, or “control,” value used in most HYCOM simulations.  
210 For legacy reasons, there is an assumed background tidal velocity (see, e.g., *Willebrand et al.*,  
211 2001) of  $5 \text{ cm s}^{-1}$  for the first one and one-half years. The background tidal velocity is reduced to  
212  $2 \text{ cm s}^{-1}$  for the next two and one-half years and  $0 \text{ cm s}^{-1}$  thereafter. Starting at the end of year 12,

213 this HYCOM simulation is further integrated in two different configurations. One configuration  
 214 is run for an additional 5 years with  $C_d = 2.5 \times 10^{-1}$  (designated “strong” hereafter). The other  
 215 configuration is run for an additional 4 years with wave drag and the mid value of bottom drag  
 216 (*Trossman et al.* 2013, 2016). Daily averages of vertical velocity profiles at select locations, daily  
 217 averages of sea surface heights, and bi-monthly averages of all other diagnostic model output are  
 218 saved during the final year (year 13 for the mid drag value, year 17 for the strong drag value, and  
 219 year 16 for the wave drag simulation). Because all of the results in this paper are computed from  
 220 years that are well beyond the years in which there is a legacy background tidal velocity, the legacy  
 221 tidal velocity does not affect any of our conclusions here.

222 Only the  $1/12^\circ$  Atlantic configuration is run with the weak value of the bottom drag coeffi-  
 223 cient ( $C_d = 5.0 \times 10^{-4}$ ). The main reason for this is that simulations with the weak bottom drag  
 224 coefficient require a very small baroclinic time step, making a global weak drag simulation pro-  
 225 hibitively expensive. The  $1/12^\circ$  Atlantic simulation is first spun-up from rest for twenty-three  
 226 years with a mid bottom drag coefficient ( $C_d = 2.5 \times 10^{-3}$ ). Sixteen spin-up years have a 5 cm  
 227  $s^{-1}$  background tidal velocity and another seven years have no background tidal velocity. This  
 228 simulation is then integrated for an additional 4 years with the weak value of the bottom drag  
 229 ( $C_d = 5.0 \times 10^{-4}$ ). Daily averages of vertical velocity profiles at select locations, daily averages  
 230 of sea surface heights, and monthly averages of all other diagnostic model output are saved during  
 231 the final year (year 23 for the mid drag simulation and year 27 for the weak drag simulation).  
 232 Table 1 presents the  $C_d$  values as well as the barotropic and baroclinic time steps of the HYCOM  
 233 simulations analyzed in this paper. Note that both the weak and strong bottom drag runs require  
 234 much smaller baroclinic time steps than the mid strength bottom drag (or control) runs. The wave  
 235 drag simulation also requires a smaller time step.

236 The flat bottom QG  $\beta$ -plane basin model configuration used here is taken directly from *Straub*  
 237 *and Nadiga* (2014). It has a uniform horizontal grid with  $\Delta x \approx 7.8$  km, or about four grid points  
 238 per deformation radius,  $L_d$ , here taken to be 30 km. The number of grid points is  $512 \times 512$ . The  
 239 upper and lower layer thicknesses are set to be 1000 and 3000 meters, respectively. A double gyre  
 240 (i.e., sinusoidal) zonal wind-stress forcing is applied to the upper layer potential vorticity equation.  
 241 Biharmonic friction is added to damp enstrophy. A version of free slip conditions appropriate for  
 242 biharmonic dissipation is applied; specifically, both vorticity and its Laplacian are set to zero  
 243 at the horizontal boundaries. A Rayleigh (linear Stommel bottom) drag is applied to the lower  
 244 layer only. The QG basin simulations analyzed here differ only in their bottom drag coefficient  
 245 ( $r_{QG} = 8.0 \times 10^{-10} \text{ s}^{-1}$ ,  $r_{QG} = 8.0 \times 10^{-8} \text{ s}^{-1}$ , and  $r_{QG} = 8.0 \times 10^{-6} \text{ s}^{-1}$  are used, with the middle  
 246 value taken as the nominal value) and in their bottom boundary condition (flat bottom and rough  
 247 bottom topography). The rough topography used is taken from the North Atlantic region of the  
 248 *Smith and Sandwell* (1997) bathymetric product. We want a topography that is rough, but is not  
 249 rough at the model grid scale, as the latter would lead to numerical noise. In order to achieve this,  
 250 we perform a two-dimensional interpolation of the *Smith and Sandwell* (1997) topography to a  
 251 uniform  $128 \times 128$  grid in the region bounded by  $18.0 - 54.1^\circ\text{W}$ ,  $7.3 - 43.4^\circ\text{N}$ , and then perform  
 252 another interpolation to the model's  $512 \times 512$  grid within the same domain. The bathymetry used  
 253 in our rough bottom QG simulations is shown in Fig. 1. The figure shows the Mid-Atlantic Ridge  
 254 cutting through the domain from the upper-right towards the lower-left corners. Note that our  
 255 topography violates the QG assumption that the bottom layer depth variations are much less than  
 256 the total depth. We also note that, as in most QG double gyre simulations, the formal requirements  
 257 that  $\beta L/f_0$  and  $\zeta/f_0$  be small are also violated, for linear meridional gradient in the Coriolis  
 258 parameter  $\beta$ , topographic horizontal length scale  $L$ , Coriolis parameter  $f_0$ , and relative vorticity  
 259  $\zeta$ . The time-averaged total energies are saved for each of the six QG basin model configurations,

260 following an initial spin-up sufficient to allow for energy levels to equilibrate. Daily output is  
261 saved for the ensuing final 135 days beyond the initial spin-up.

262 The horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG results are  
263 taken from *Arbic and Flierl* (2004) and *Arbic and Scott* (2008). A linear bottom drag was used  
264 in the former paper while a quadratic bottom drag was employed alongside a linear drag in the  
265 latter paper. *Arbic and Scott* (2008) demonstrated that the impacts of bottom drag strength on the  
266 vertical structure, amplitude, and horizontal length scales of eddy kinetic energy are qualitatively  
267 similar whether linear or quadratic bottom drag is used; however, the sensitivity to drag is reduced  
268 when the drag is quadratic. The horizontally homogeneous QG results are run in a doubly periodic  
269 domain, with an imposed, baroclinically unstable mean flow meant to mimic the flows in a mid-  
270 ocean gyre. Equilibration results when the energy extracted by eddies from the mean flow is  
271 balanced by the energy dissipated by bottom drag.

### 272 **3. Diagnostics and observations**

273 For the most part, we compare our various model simulations with each other. However, we will  
274 also compare the sea surface height (SSH) variance, the geostrophic surface kinetic energy (SKE),  
275 and the vertical structure of the kinetic energy (KE) of the OGCM simulations with observations.  
276 The “observed” geostrophic SKE and SSH variance are taken from satellite altimetry products.  
277 To make the observations comparable with our model output, a mean SSH product (*Andersen*  
278 *and Knudsen* 2009; *Andersen* 2010) is added to the SSH anomalies from satellite altimetry before  
279 computing the observed geostrophic SKE and SSH variance. Geostrophic SKE is computed from  
280 the SSHs using a nine-point stencil according to the method outlined in *Arbic et al.* (2012). The  
281 model’s SSH variance and geostrophic SKE are calculated from daily averaged model output.

KE profiles at current meter locations (taken from the Global Multi-Archive Current Meter Database)<sup>1</sup> will be compared to the output of our global HYCOM simulations. The current meter velocities were filtered using a Butterworth filter with half-power of 3 and a daily cutoff period to eliminate tides and other higher frequency motions that are not present in the daily-averaged model output. We show the average vertical profile of the KE computed over the locations where current meter observations of at least a month duration exist. We place the KE at each horizontal location into 500 meter depth bins in the upper 4000 meters because 500 meters is a typical vertical resolution of abyssal layers in HYCOM; the vertical spacing between current meters on a typical mooring is of the same order of magnitude.

We measure the vertical structure, or baroclinicity, of eddy KE in two ways: as the ratio of the baroclinic to barotropic KE ( $KE_{BC}$  to  $KE_{BT}$ ) and as the ratio of near-surface to near-bottom KE. Here,  $KE_{BT}$  is the kinetic energy of the depth-averaged flow, and  $KE_{BC}$  is the kinetic energy of the deviations from the depth-averaged flow. For QG,

$$\begin{aligned}\psi_{BT} &= \frac{H_1 \psi_1 + H_2 \psi_2}{H_1 + H_2} \\ \psi_{BC} &= \sqrt{H_1 H_2} \frac{\psi_1 - \psi_2}{H_1 + H_2},\end{aligned}\tag{1}$$

where  $H_1$  and  $\psi_1$  are the layer thickness and streamfunction in the upper layer, and  $H_2$  and  $\psi_2$  are the layer thickness and streamfunction in the bottom layer. *Arbic and Flierl* (2004) found the  $KE_{BC}$  to  $KE_{BT}$  ratio to be a more useful diagnostic for quantifying baroclinicity in weak bottom drag QG turbulence simulations, while the surface-to-bottom KE ratio was more useful in the strong drag limit. Only in our Atlantic simulations do we quantify baroclinicity using  $KE_{BC}/KE_{BT}$ . In both our Atlantic and global simulations, we use the top 100 meters and bottom 500 meters to represent the near-surface and near-bottom ocean; we will refer to the ratio of the two as  $KE_{top100}/KE_{bot500}$ .

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<sup>1</sup> See: <http://stockage.univ-brest.fr/~scott/GMACMD/updates.html> (*Scott et al.* 2010). These observations were quality controlled by *Timko et al.* (2013) for effects such as blow-over.

304 This choice is made because the surface mixed layer is typically on the order of 100 meters thick,  
 305 while the bottom two layers together in HYCOM are typically about 500 meters thick. When  
 306 calculating the ratios, we omit all grid points where the water is shallower than 500 meters. When  
 307 tabulating the area-averaged  $KE_{BC}/KE_{BT}$  and  $KE_{top100}/KE_{bot500}$  ratios, we also omit all grid  
 308 points within 30 indices of the coasts because in such locations there can be infinitesimal layer  
 309 thicknesses that lead to finite transports but very large values of KE.

310 Eddy horizontal length scale diagnostics are also computed. As in the doubly periodic QG  
 311 turbulence simulations of *Arbic and Flierl* (2004) and *Arbic and Scott* (2008), we examine the  
 312 length scales  $L_{KE}$  of eddy SKE and  $L_{BT}$  of eddy barotropic KE. The HYCOM eddy SKE length  
 313 scales are computed assuming a geostrophic streamfunction,  $\psi = g\eta/f$ , where  $\eta$  is the daily-  
 314 averaged SSH,  $g = 9.806 \text{ m s}^{-2}$  is the acceleration due to gravity, and  $f$  is the Coriolis parameter.

315 The SKE length scale is

$$316 \quad L_{KE} \doteq \left[ \frac{\int \kappa E_{KE}(\kappa) d\kappa}{\int E_{KE}(\kappa) d\kappa} \right]^{-1}, \quad (2)$$

317 where  $\kappa$  is the isotropic horizontal wavenumber and  $E_{KE}(\kappa) = \kappa^2 |\hat{\psi}|^2$  is the geostrophic SKE  
 318 spectrum, where  $\hat{\cdot}$  denotes a Fourier transform. The QG model's eddy SKE length scales are  
 319 computed from the upper layer's streamfunction. The QG model's eddy length scales associated  
 320 with  $KE_{BT}$  are calculated similarly, but using  $E_{BT} = |\hat{u}_{BT}|^2 + |\hat{v}_{BT}|^2$  in place of  $E_{KE}$ . Because it  
 321 suffices to show the HYCOM  $KE_{BT}$  fields for the conclusions we draw about  $L_{BT}$ , HYCOM  $L_{BT}$   
 322 are not calculated. The two-dimensional Fourier transforms above are calculated using data from  
 323  $20^\circ \times 20^\circ$  regions. Using output from our HYCOM simulations,  $\psi$  is interpolated onto a uniformly  
 324 spaced ( $\approx 7.8 \text{ km}$ ) latitude-longitude grid. The temporal mean and spatial trends within each box  
 325 were removed for the HYCOM simulations. For the QG basin simulations, the temporal mean  
 326 trend within each box was removed; no interpolation was necessary since these data were output  
 327 on a uniformly spaced grid.



Because of their relevance to interpreting the differences between the simulations with varied bottom drag strengths and the simulations with wave drag, we describe the bottom drag and wave drag contributions to the KE equation. This KE equation can be written as in *Trossman et al.* (2013)

$$P_{KE,t} + P_{KE,adv} = P_{press} + P_{input} - P_{output} + C_{KE \rightarrow PE} \quad (3)$$

Here,  $P_{KE,t}$  is the time derivative of the globally integrated KE,  $P_{KE,adv}$  is the KE change due to advective fluxes across the sea surface,  $P_{press}$  is the divergence of KE associated with pressure differentials at the sea surface,  $P_{input}$  is the wind energy input,  $P_{output}$  is the sum of all dissipative terms such as bottom drag and wave drag (see below), and  $C_{KE \rightarrow PE}$  is the conversion rate of KE to PE. Because of the form of the wave drag parameterization in the momentum equations (*Trossman et al.* 2013, 2016), it can be thought of as a linear bottom boundary layer drag with a spatially varying coefficient ( $r_{drag}$ ). The energy dissipation rate due to quadratic bottom boundary drag is given by *Taylor* (1919)

$$D_{BD} = \rho_0 C_d |\mathbf{u}_b|^3. \quad (4)$$

The energy dissipation due to a combination of topographic blocking and internal lee wave drag is given by *Trossman et al.* (2013)

$$D_{WD} = \rho_0 r_{drag} |\mathbf{u}_d|^2. \quad (5)$$

Here,  $\rho_0 = 1035 \text{ kg m}^{-3}$  is the average density of seawater with respect to 2000 dbar;  $C_d$  is the quadratic drag coefficient;  $\mathbf{u}_b$  is the velocity averaged over the bottom  $H_{BD}$  meters and  $\mathbf{u}_d$  is the velocity averaged over the bottom  $H_{WD}$  meters, with  $|\cdot|$  indicating a magnitude;  $r_{drag}$  is a positive-definite decay rate times a vertical length scale, computed from  $\mathbf{u}_d$  and a power spectrum associated with the underlying topography;  $H_{BD} = 10$  meters is the height range above the seafloor (up to the surface if shallower than 10 meters) over which quadratic bottom drag is applied in the

351 model; and  $H_{WD} = 500$  meters is the height range above the seafloor (up to the surface if shallower  
352 than 500 meters) over which wave drag is applied in the model.

## 353 4. Results

354 Using horizontal eddy length scales, KE budget terms, geostrophic SKE, SSH variances, and ra-  
355 tios of  $KE_{BC}$  to  $KE_{BT}$  and near-surface to near-bottom KE, we will evaluate the impact of bottom  
356 drag strength on HYCOM and QG  $\beta$ -plane basin dynamics. We compare sensitivities in our HY-  
357 COM and QG basin simulations with results based on simpler two-layer, flat bottom, horizontally  
358 homogeneous QG turbulence studies. We also compare the SSH variance, geostrophic SKE, and  
359 vertical structure of KE from our HYCOM simulations with observations to assess the degree to  
360 which the bottom drag strength ( $C_d$ ) is important in maintaining realistic eddy statistics in these  
361 simulations. We finish this section by examining how eddy statistics are altered upon addition of  
362 wave drag, using the metrics described above.

### 363 a. SSH variance and geostrophic SKE

364 The area-averaged geostrophic SKE in the HYCOM simulations, which have realistic  
365 bathymetry, is relatively insensitive to bottom drag strength, being only slightly increased with  
366 larger bottom drag strength and slightly decreased with smaller bottom drag strength (Figs. 2a-d;  
367 Table 2). This contrasts with previous studies of two-layer flat-bottom doubly periodic QG turbu-  
368 lence simulations (e.g., *Arbic and Flierl* 2004; *Arbic and Scott* 2008) for which the sensitivity is  
369 much greater.<sup>2</sup>

370 SSH variance shows a somewhat larger sensitivity (Fig. 3; Table 2). For example, the strong  
371 bottom drag simulation shows greater SSH variance in the Gulf Stream Extension than is the case

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<sup>2</sup>The geostrophic SKE is larger in each of the HYCOM model simulations than in AVISO (Fig. 2e). This is due to a known deficiency of energy in the AVISO product (e.g., *Chelton et al.*, 2011).

for the control run (Figs. 3a and 3c). This is also true in the intensified jet regions outside that of the Gulf Stream as well. Conversely, the weak bottom drag run shows less SSH variance in energetic currents (Figs. 3b and 3d).

We infer that the changes in SSH variances shown in Fig. 3 are due to increased near-surface eddy-driven mixing in the strong bottom drag simulations. *Radko et al.* (2014) postulates that eddy-driven mixing increases with shear, and we find evidence that the near-surface shear increases with drag coefficient (see the discussion of Fig. 4 below). Furthermore, the ageostrophic flow is affected through the curl of the wind stress, mostly in regions with intensified jets (not shown). We surmise that there are alterations in baroclinic instability due to differences in an inferred conversion rate between kinetic and potential energy change with varied bottom drag strength. *Trossman et al.* (2013, 2016) argued, making reference to (3), that the conversion rate between kinetic and potential energy must change when wave drag is included and the same energetics argument holds for our experiment with increased bottom drag strength.

#### *b. Vertical structure of the kinetic energy*

The vertical structure of KE in our strongly damped HYCOM simulations is qualitatively consistent with that seen in idealized QG turbulence simulations, but agrees poorly with observations. Table 3 demonstrates that the ratio of KE in the upper to lower layers is greatly increased in the strong drag HYCOM experiment, as would be anticipated from strong drag horizontally homogeneous QG turbulence results (*Arbic and Flierl* 2004; *Arbic and Scott* 2008). Fig. 4b shows KE profiles for the low-passed observations and the global  $1/25^\circ$  strong- and mid-strength bottom drag simulations. Data are temporally averaged at each location in the Global Multi-Archive Current Meter Database and then averaged over all locations shown in Fig. 4a. Strong bottom drag renders a more baroclinic, surface-intensified flow. The KE from the strong drag simulation

(red curve in Fig. 4b) is greatly reduced near the seafloor and less so at shallower depths. The poor comparison between the strong-drag run and observations suggests that the real ocean is not in a strong-drag regime, consistent with the conclusions of *Arbic and Flierl* (2004) and *Arbic and Scott* (2008). Baroclinicity in the weak- versus mid-drag HYCOM simulations also behaves in a qualitatively similar way to what is observed in horizontally homogeneous QG turbulence (*Arbic and Flierl* 2004; *Arbic and Scott* 2008). Table 3 suggests that the Atlantic weak-drag simulation is more barotropic (less surface-intensified) than the mid-drag simulation.

We next consider geographical distributions of baroclinicity. Figure 5 shows maps of  $KE_{top100}/KE_{bot500}$  for the global mid- and strong-drag HYCOM simulations and Fig. 6 shows maps of the same quantity for the Atlantic mid- and weak-drag HYCOM simulations<sup>3</sup>). The locations where KE shows strong baroclinicity in the global maps of Fig. 5 tend to be within  $40^\circ$  of the equator or confined within bands in the Southern Ocean. Fig. 5 indicates that the number of grid points that are highly baroclinic is greater in the strong-drag simulation than in the mid-drag simulation, consistent with expectations from horizontally homogeneous QG turbulence simulations. In the weak drag simulations, baroclinicity is considerably reduced (compare Figs. 6a and 6b). Overall, baroclinicity of the KE in HYCOM behaves qualitatively as one might expect from from idealized, flat-bottom, horizontally homogeneous QG turbulence simulations: the flow becomes distinctly more barotropic with weak drag and more baroclinic with strong drag. An important difference from this classical picture is that surface and barotropic KE are individually less sensitive than is the case in classic studies of QG turbulence. This can be seen by inspection of Fig. 7, which displays  $KE_{BT}$  in the North Atlantic for the global and Atlantic HYCOM simulations with

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<sup>3</sup>We did not save the total or baroclinic component of the KE for the  $1/25^\circ$  global simulations due to the large hard disk space requirements needed to save these fields. The combination of Figs. 4b and 5 with Table 3 are sufficient to demonstrate that the flow becomes more baroclinic with stronger bottom drag.

416 varying bottom drag strength. Although  $KE_{BT}$  is weaker when bottom drag is stronger (Fig. 7),  
 417 this dependence is much less pronounced than is the signal as seen in baroclinicity (Figs. 5 and 6).  
 418 Our QG basin simulations allow us to examine the impacts of rough topography and lateral inho-  
 419 mogeneities on eddy statistics in QG flow. Fig. 8 displays the baroclinicity (quantified with both  
 420 of the measures discussed earlier), as well as the surface and barotropic eddy horizontal length  
 421 scales, in the QG basin simulations (with both rough and flat bottom topography), the previously  
 422 reported horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG simula-  
 423 tions of *Arbic and Flierl* (2004) and *Arbic and Scott* (2008), and the OGCM simulations. The  
 424 abscissa of Fig. 8 represents the nondimensional friction strength, as defined by *Arbic and Scott*  
 425 (2008) for the doubly periodic simulations, and defined by the ratio of the friction value to the  
 426 nominal, or “control” value, for the QG basin and OGCM simulations. The QG basin simulations  
 427 show that increased bottom drag leads to a more baroclinic flow, as expected (see blue curves  
 428 in Figs. 8a-b), and in qualitative consistency with the QG turbulence results shown in Figs. 8a-b  
 429 (black curves). Also as expected, overall there is less KE in the QG basin simulations when bottom  
 430 drag strength is increased (Table 4).<sup>4</sup> However, the sensitivity of baroclinicity and eddy energy to  
 431 bottom drag strength is greatly reduced from what is seen in the horizontally homogeneous QG  
 432 turbulence results, especially when rough topography is introduced into the QG basin simulations  
 433 (e.g., compare the squares-solid blue curve to the diamonds-dot-dashed blue curve relative to the  
 434 black curves in Figs. 8a-b, and the much greater sensitivity in Table 4 for the flat versus rough  
 435 bottom simulations). This reduced sensitivity relative to horizontally homogeneous QG turbu-  
 436 lence results is also seen in the HYCOM simulations over areas of rough topography, e.g., over a  
 437 sub-domain of the North Atlantic (between  $59.3^\circ - 39.3^\circ\text{W}$  and  $19.6^\circ - 39.6^\circ\text{N}$ ) close to the one

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<sup>4</sup>The eddy kinetic energy is only at a level near that of observations when the bottom drag coefficient lies in a particular range, but this range is considerably broader when rough topography is present than when a flat bottom is employed.

shown in Fig. 1 (red curves in Figs. 8a-b). It seems clear that rough topography accounts for much of the discrepancy between our HYCOM simulations and expectations from classic studies of flat-bottom QG turbulence.

*c. Surface eddy horizontal length scales*

We next consider eddy horizontal length scales. In our HYCOM simulations, length scales  $L_{KE}$  associated with SKE are fairly insensitive to bottom drag strength (Fig. 8d; Table 5). Although we did not explicitly calculate a length scale for the KE in the barotropic mode of our HYCOM simulations, visual inspection of Fig. 7 suggests that it too is relatively insensitive to bottom drag strength. In contrast, the surface eddy horizontal length scales increase more dramatically with reducing drag strength in the weak-drag limit of the horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG turbulence results of *Arbic and Flierl (2004)* and *Arbic and Scott (2008)*, as can be seen in Fig. 8d. The increase in surface length scales in these previous simulations is mainly due to an increase in the barotropic length scale (Fig. 8c).

To investigate a possible reason for the weak sensitivity of HYCOM eddy horizontal length scales to bottom drag relative to flat-bottom, horizontally homogeneous QG turbulence results, we compare eddy length scales from our QG basin simulations with and without rough topography. We consider eddy length scales associated with barotropic KE (Fig. 8c) and surface, or upper layer, KE (Fig. 8d). As with the HYCOM simulations (red curves in Figs. 8d), there is no general trend for the eddy length scales as a function of bottom drag strength in our rough bottom QG basin simulations. However, the eddy length scales in the flat-bottom QG basin simulations behave more like the previous flat-bottom doubly periodic QG turbulence results – both barotropic and surface eddy length scales increase greatly as drag is weakened in the weak drag limit. Overall, our results suggest that rough topography reduces the sensitivity of eddy horizontal length scales to bottom

461 drag. This insensitivity can be visualized through examination of snapshots of the upper layer  
 462 streamfunction, shown in Fig. 9, for the QG basin simulations. The flat bottom simulations show  
 463 large qualitative differences as drag strength is altered. With rough topography, this sensitivity is  
 464 markedly reduced. In addition, we note that the presence of topography matters less to the surface  
 465 streamfunction when the drag is strong. For instance, the streamfunctions for the simulations  
 466 with strong-drag in flat- and rough-bottom configurations (Figs. 9c and 9f, respectively) are more  
 467 similar to each other than are the streamfunctions for the simulations with mid- or weak-drag in  
 468 flat- and rough-bottom configurations (Figs. 9a,d and 9b,e). This is because the bottom horizontal  
 469 flow,  $\vec{u}$ , approaches zero in the strong-drag regime, and the impact of topography on QG flows  
 470 is proportional to  $\vec{u} \cdot \nabla h$ , where  $h$  is the bottom topography. Our QG basin simulation results are  
 471 consistent with the finding from previous studies (e.g., *Nadeau et al.*, 2013) that use of realistic  
 472 rough topography increases baroclinicity (e.g., compare upper and lower layer kinetic energies in  
 473 their Table 2).

474 It seems clear that rough topography acts to reduce the sensitivity of eddy horizontal length  
 475 scales to bottom drag strength. Other differences between our HYCOM simulations and many  
 476 classic studies of QG turbulence include vertical resolution (e.g., the number of layers, which  
 477 is often only two in QG turbulence models); horizontal inhomogeneities; and other modeling  
 478 choices, such as the choice of linear versus quadratic parameterizations of bottom drag. Although  
 479 it is difficult to make a direct comparison, the use of a quadratic bottom drag instead of a linear drag  
 480 may also account for part of the weakened sensitivity in HYCOM. *Arbic and Scott* (2008) showed  
 481 that the sensitivities in QG turbulence to linear drag are greater than those for quadratic drag, as  
 482 can be seen in Fig. 8 here. It seems unlikely that the reduced sensitivity seen in our HYCOM  
 483 simulations (relative to classic studies) is strongly affected by vertical resolution, ageostrophic  
 484 dynamics, or horizontal inhomogeneity. In support of this statement, we note that *Hurlburt et al.*

485 (2008) used a realistic OGCM similar to HYCOM, but with a flat bottom. They find much larger  
486 changes in mean SSH in response to changes in bottom drag than we see, despite the inclusion of  
487 horizontal inhomogeneity, ageostrophic dynamics, and higher vertical resolution in their model.

488 A working hypothesis for why rough topography acts to reduce the sensitivity of eddy horizon-  
489 tal length scales to bottom drag is that barotropization of baroclinic energy gets short-circuited in  
490 the presence of rough bottom topography. Barotropization of baroclinic energy extracts baroclinic  
491 energy from scales near the deformation radius and injects it into the barotropic mode, typically  
492 at somewhat larger horizontal scales. This energy remains resident in the barotropic mode, es-  
493 sentially until it is removed by bottom friction. With rough topography, much of this barotropic  
494 energy can be transferred back to the baroclinic mode; that is, interaction between topography  
495 and the barotropic streamfunction forces the baroclinic mode. Assuming this to occur at a com-  
496 parable or faster rate than the rate at which bottom drag acts to remove barotropic energy, the  
497 barotropization process becomes effectively “short-circuited”. Our hypothesis and those posed  
498 by previous studies (e.g., *Hurlburt et al.*, 2008) on the influence of rough topography on eddying  
499 flows would explain the relatively small changes observed in geostrophic SKE, SSH variance, and  
500 eddy horizontal length scales here.

#### 501 *d. Effect of wave drag*

502 The strong and weak values of bottom drag used here help to demonstrate the impact of bottom  
503 drag strength on eddy statistics, but these extreme drag values lie outside of physically plausible  
504 limits. Aside from the “mid” value of  $C_d = 2.5 \times 10^{-3}$ , an additional plausible momentum sink in  
505 the ocean is that associated with wave drag, as described in *Trossman et al.* (2013, 2016). Here, we  
506 briefly investigate whether the sensitivity of eddy statistics to the presence of a physically plausible



507 wave drag momentum sink is qualitatively similar to the sensitivity seen with the extremes of  
508 bottom drag strength discussed in previous sections.

509 Including wave drag and boosting bottom drag strength impact HYCOM in a qualitatively sim-  
510 ilar manner. The near-bottom flows are also weakened in the HYCOM simulation with wave drag  
511 such that the vertical profile of KE is more baroclinic relative to the simulation without wave drag  
512 (Fig. 10; Table 3; *Trossman et al.*, 2016 - their Figs. 11a-d). As with the sensitivity of HYCOM  
513 eddy length scales to bottom drag strength (Fig. 8d; Table 5),  $L_{KE}$  in HYCOM is fairly insensi-  
514 tive to the presence of wave drag (Table 5). Area-averaged SSH variance and geostrophic SKE  
515 in HYCOM are both sensitive at the  $\sim 20\%$  level to the inclusion of wave drag (*Trossman et al.*,  
516 2016 - their Figs. 5 and 7; their Table 2; also see Table 2 in this paper). Lastly, the conversion rate  
517 between kinetic and potential energy must change with the same sign when wave drag is included  
518 as when bottom drag strength is increased.

519 The responses of the HYCOM simulations with wave drag and strong bottom drag, however, are  
520 not identical. When wave drag is included, the SSH variance and geostrophic SKE are actually  
521 decreased, in contrast to the slight increases seen with increasing bottom drag (Table 2). This  
522 demonstrates the fundamentally different physical consequences of including wave drag relative  
523 to boosting bottom drag. Here we surmise that the spatially varying coefficient,  $r_{drag}$ , in the wave  
524 drag parameterization is the source of the qualitatively different responses of SSH variance and  
525 geostrophic SKE to the presence of wave drag as opposed to increasing bottom drag strength. From  
526 the results of *Hurlburt and Hogan* (2008), who varied bottom drag strength using only six layers  
527 in the vertical direction and a flat bottom in a model very similar to HYCOM, we suggest that  
528 applying a bottom drag over a much larger bottom layer thickness than in our HYCOM simulations  
529 would not cause qualitatively different behavior in the geostrophic SKE and SSH variance. We  
530 also suggest, based upon the horizontally homogeneous QG turbulence results of *Arbic and Scott*

(2008), that using a linear, as opposed to quadratic, bottom drag near the seafloor is not the cause of the qualitatively different behaviors seen when using wave drag versus bottom drag.

## 5. Conclusions

The present study investigates the sensitivity of midocean eddy statistics to bottom drag, rough topography, and wave drag in models of varying complexity. A primary focus is on whether the conclusions drawn from horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG turbulence simulations about sensitivity to bottom drag (e.g., *Arbic and Flierl*, 2004; *Arbic and Scott*, 2008) qualitatively apply to more realistic ocean models. In the QG basin and realistic OGCM simulations with strong bottom drag studied here, the KE is reduced in the bottom-most layer and generally becomes more baroclinic, as in the earlier two-layer doubly periodic QG results. As a result, the agreement with the vertical structure, or baroclinicity, of eddy KE in current meter observations is better for the OGCM simulations with a nominal “mid” value of bottom drag than for the OGCM simulations with a strong bottom drag. In the QG basin and OGCM simulations with weak bottom drag studied here, the KE becomes more barotropic, again in accordance with earlier two-layer doubly periodic QG results. However, the sensitivity of the baroclinicities in the QG basin simulations to bottom drag is reduced for rough bottom conditions relative to flat bottom conditions, suggesting that rough topography mediates the sensitivity of baroclinicity to bottom drag.

The qualitative results about the horizontal eddy length scales seen in horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG turbulence damped by very weak or strong bottom drag are not seen in the QG basin simulations performed here with rough topography. In line with earlier results (e.g., *Treguier and Hua*, 1988), the use of rough topography reduces the sensitivity of eddy horizontal length scales to bottom drag strength in QG basin simulations.

554 Our QG basin simulations suggest that the bathymetry of the more realistic OGCM simulations  
555 is partially responsible for the relatively weak impact of bottom drag or wave drag on horizontal  
556 eddy length scales.

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812 TABLE 1. Horizontal resolutions, nondimensional drag coefficient ( $C_d$ ) values, and barotropic and baroclinic  
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814 simulations analyzed in this manuscript.

Resolution	global/regional	wave drag?	$C_d$	$t_{BT}$	$t_{BC}$
$1/12^\circ$	Atlantic	no	$2.5 \times 10^{-3}$ (mid)	7.5	120
$1/12^\circ$	Atlantic	no	$5.0 \times 10^{-4}$ (weak)	7.5	15
$1/25^\circ$	global	no	$2.5 \times 10^{-3}$ (mid)	2	120
$1/25^\circ$	global	no	$2.5 \times 10^{-1}$ (strong)	2	40
$1/25^\circ$	global	yes	$2.5 \times 10^{-3}$ (wave drag)	2	20



815      TABLE 2. The area-weighted average of the sea surface height (SSH) variance [ $\text{m}^2$ ] and geostrophic surface  
816 kinetic energy (SKE) [ $\text{m}^2 \text{s}^{-2}$ ] fields from the  $1/25^\circ$  global and  $1/12^\circ$  Atlantic HYCOM simulations.

Resolution	global/regional	wave drag?	$C_d$	SSH variance	geostrophic SKE
$1/12^\circ$	Atlantic	no	$2.5 \times 10^{-3}$ (mid)	0.0079	0.0314
$1/12^\circ$	Atlantic	no	$2.5 \times 10^{-4}$ (weak)	0.0068	0.0311
$1/25^\circ$	global	no	$2.5 \times 10^{-3}$ (mid)	0.0083	0.0075
$1/25^\circ$	global	no	$2.5 \times 10^{-1}$ (strong)	0.0089	0.0076
$1/25^\circ$	global	yes	$2.5 \times 10^{-3}$ (wave drag)	0.0068	0.0063

817 TABLE 3. The ratio of the total KE in the top 100 meters ( $KE_{top100}$ ) to total KE in the bottom 500 meters  
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819 indices of the coasts were excluded from this calculation due to the occurrence of infinitesimal layer thicknesses.  
820 The asterisk (\*) indicates that  $KE_{top100}$  was not saved; instead, the geostrophic SKE is used.

global/regional	wave drag?	$C_d$	$KE_{top100}/KE_{bot500}$
regional	no	$2.5 \times 10^{-3}$	18.5
regional	no	$5.0 \times 10^{-4}$	3.51
global	no	$2.5 \times 10^{-3}$	16.1
global	no	$2.5 \times 10^{-1}$	41.8
global	yes	$2.5 \times 10^{-3}$	51.1*

821 TABLE 4. The domain-integrated kinetic energy ( $E_{tot}$ ) [ $\text{GJ} = 10^9 \text{J}$ ] in the quasi-geostrophic (QG) basin simula-  
822 tions with a flat bottom and rough bottom topography for three different values of linear bottom drag coefficients.  
823 The units of  $r_{QG}$  are in  $\text{s}^{-1}$ .

flat/rough topography	$r_{QG}$	$E_{tot}$
flat bottom	$8 \times 10^{-10}$	750
flat bottom	$8 \times 10^{-8}$	66
flat bottom	$8 \times 10^{-6}$	48
rough bottom	$8 \times 10^{-10}$	91
rough bottom	$8 \times 10^{-8}$	53
rough bottom	$8 \times 10^{-6}$	46

824 TABLE 5. The surface eddy horizontal length scales ( $L_{KE}$ ) (units in km) associated with geostrophic surface  
825 kinetic energy computed over the final year of the  $1/25^\circ$  global HYCOM simulations and  $1/12^\circ$  Atlantic HY-  
826 COM simulations. The domain chosen for the entries listed here is the North Atlantic between  $59.3^\circ - 39.3^\circ\text{W}$   
827 and  $19.6^\circ - 39.6^\circ\text{N}$ , very close to the region shown in Fig. 1.

configuration	$C_d$	wave drag?	$L_{KE}$
$1/12^\circ$ Atlantic	$5 \times 10^{-4}$	no	50.4
$1/12^\circ$ Atlantic	$2.5 \times 10^{-3}$	no	52.0
$1/25^\circ$ global	$2.5 \times 10^{-3}$	no	56.7
$1/25^\circ$ global	$2.5 \times 10^{-1}$	no	53.8
$1/25^\circ$ global	$2.5 \times 10^{-3}$	yes	51.4

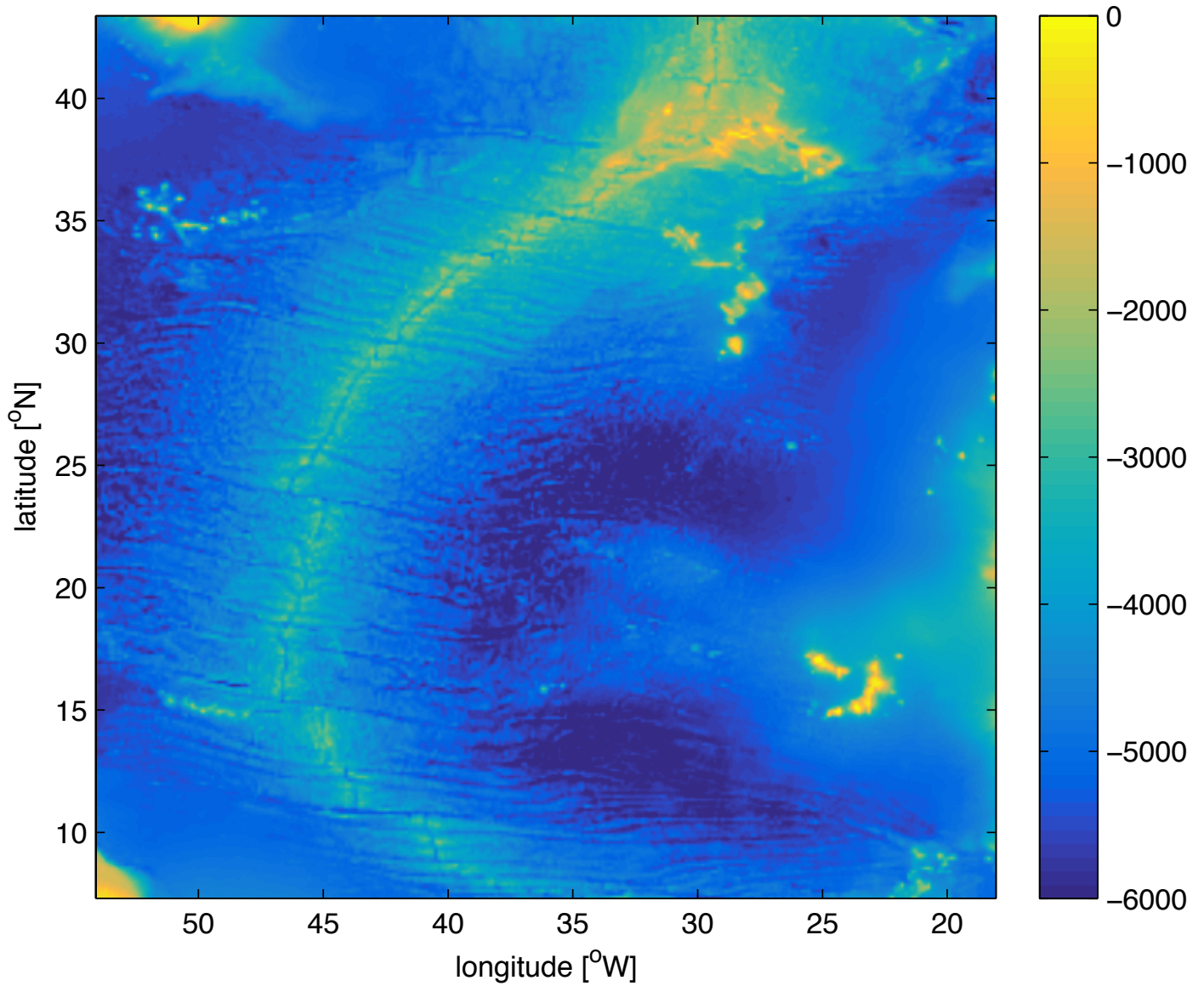
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877  $8 \times 10^{-8} \text{ s}^{-1}$ , and (c,f)  $8 \times 10^{-6} \text{ s}^{-1}$ . The axes have the same latitude and longitude labels  
878 as in Fig. 1. . . . . 55

879 **Fig. 10.** Shown are the base-10 logarithms of the ratios of the geostrophic surface kinetic energy  
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881 the final year of (a) the mid-bottom drag  $1/25^\circ$  global HYCOM simulation without wave  
882 drag and (b) the  $1/25^\circ$  global HYCOM simulation with wave drag. . . . . 56

## Rough topography used in QG turbulence simulations



883 FIG. 1. The rough bottom topography used in the QG basin simulations. The colorbar values are given in  
884 units of meters below the sea surface. The minimum depth is greater than 10 meters.

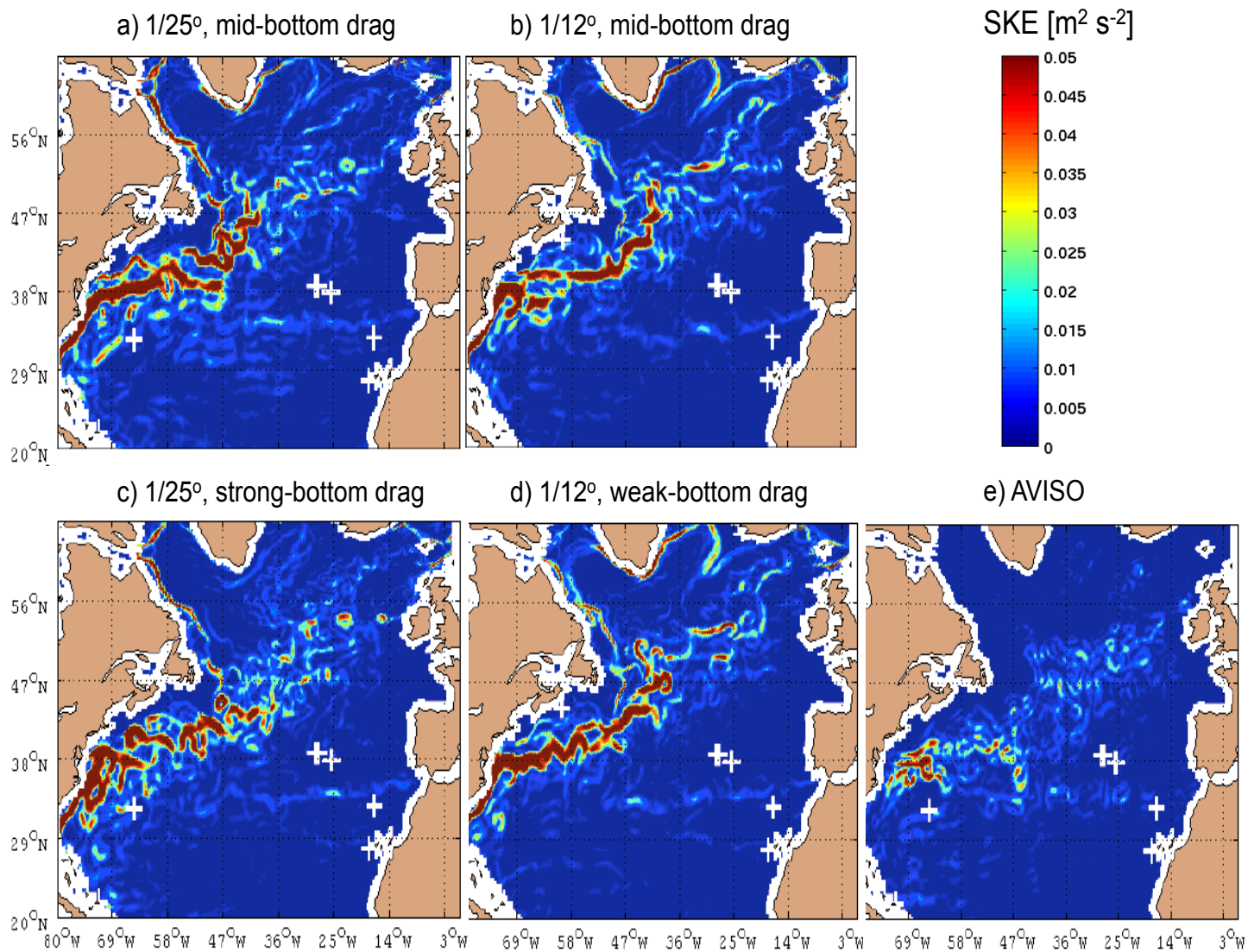


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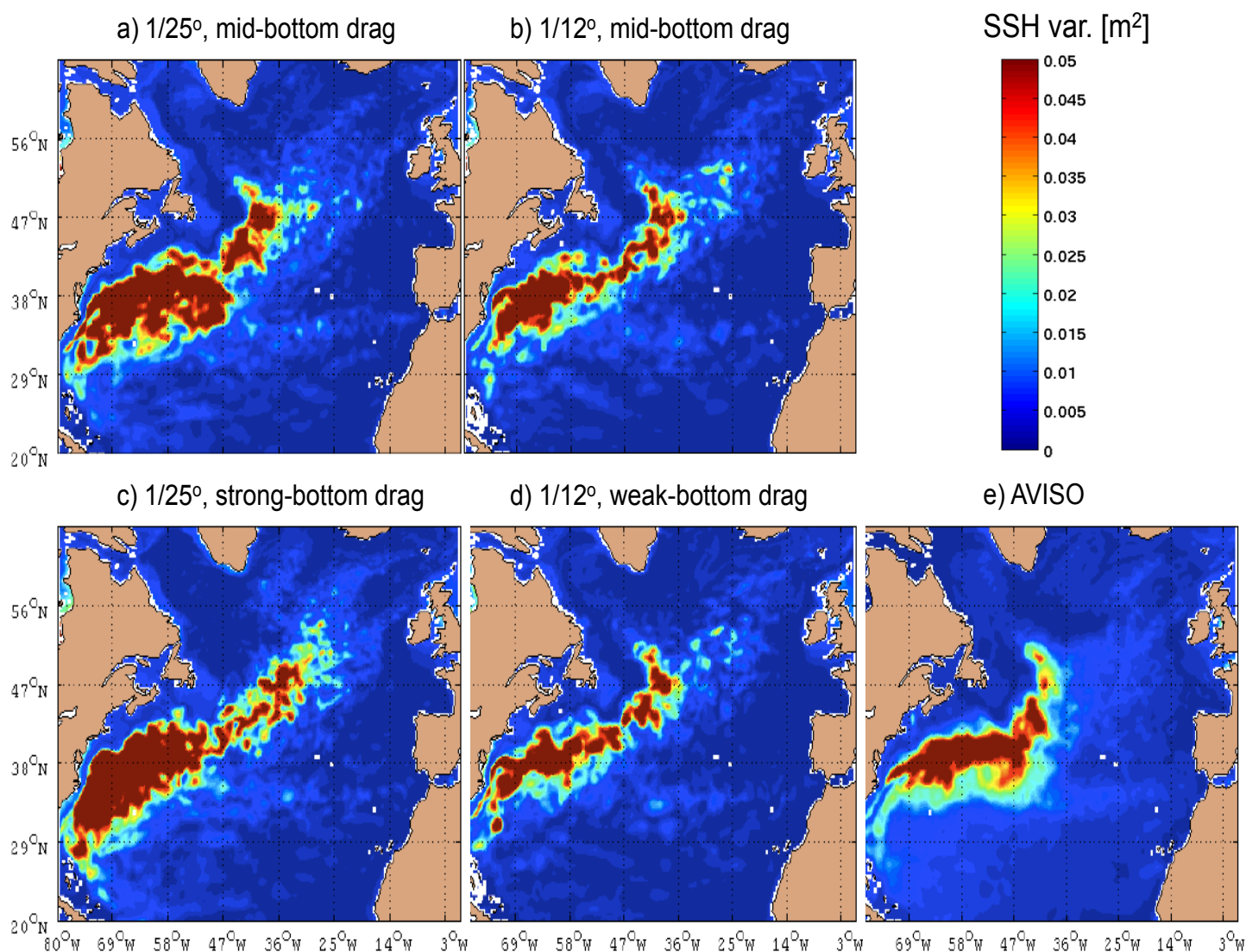


FIG. 3. Shown are the sea surface height (SSH) variances (units in  $\text{m}^2$ ) in the North Atlantic, averaged over the final year of (a) the mid-bottom drag  $1/25^\circ$  global HYCOM simulation, (c) the strong-bottom drag  $1/25^\circ$  global HYCOM simulation, (b) the mid-bottom drag  $1/12^\circ$  Atlantic HYCOM simulation, (d) the weak-bottom drag  $1/12^\circ$  Atlantic HYCOM simulation, and (e) over all years (1992 – 2008) of AVISO data.

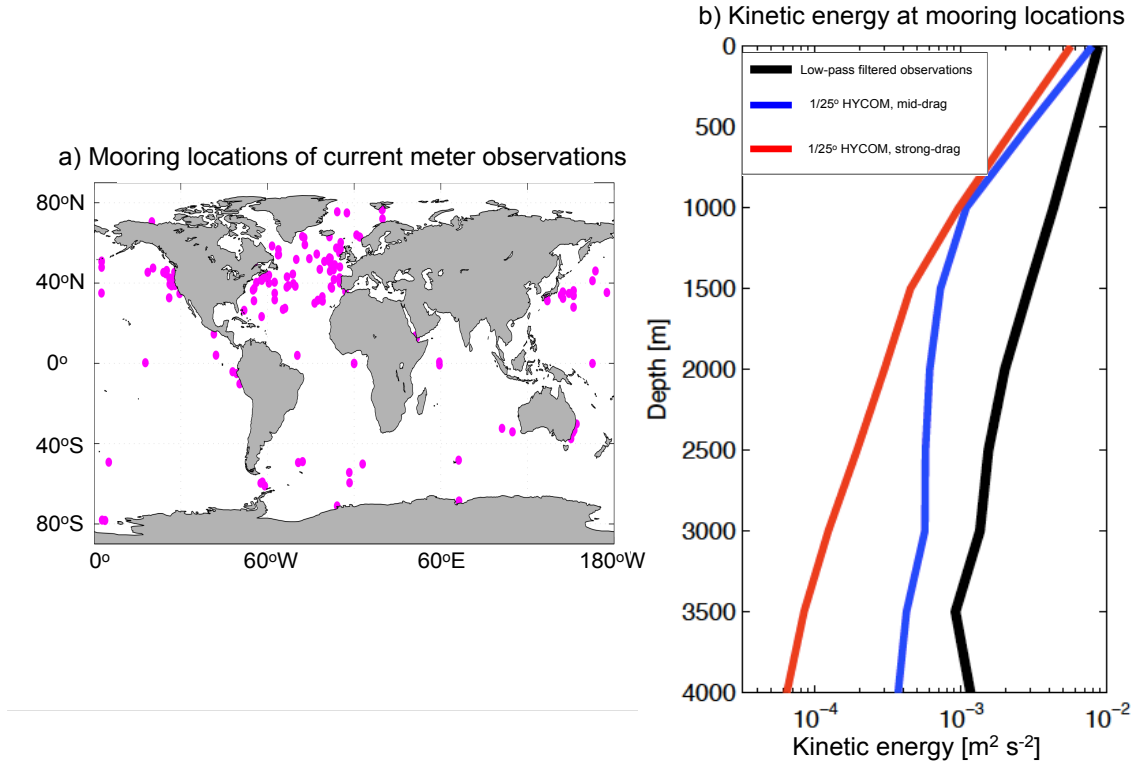
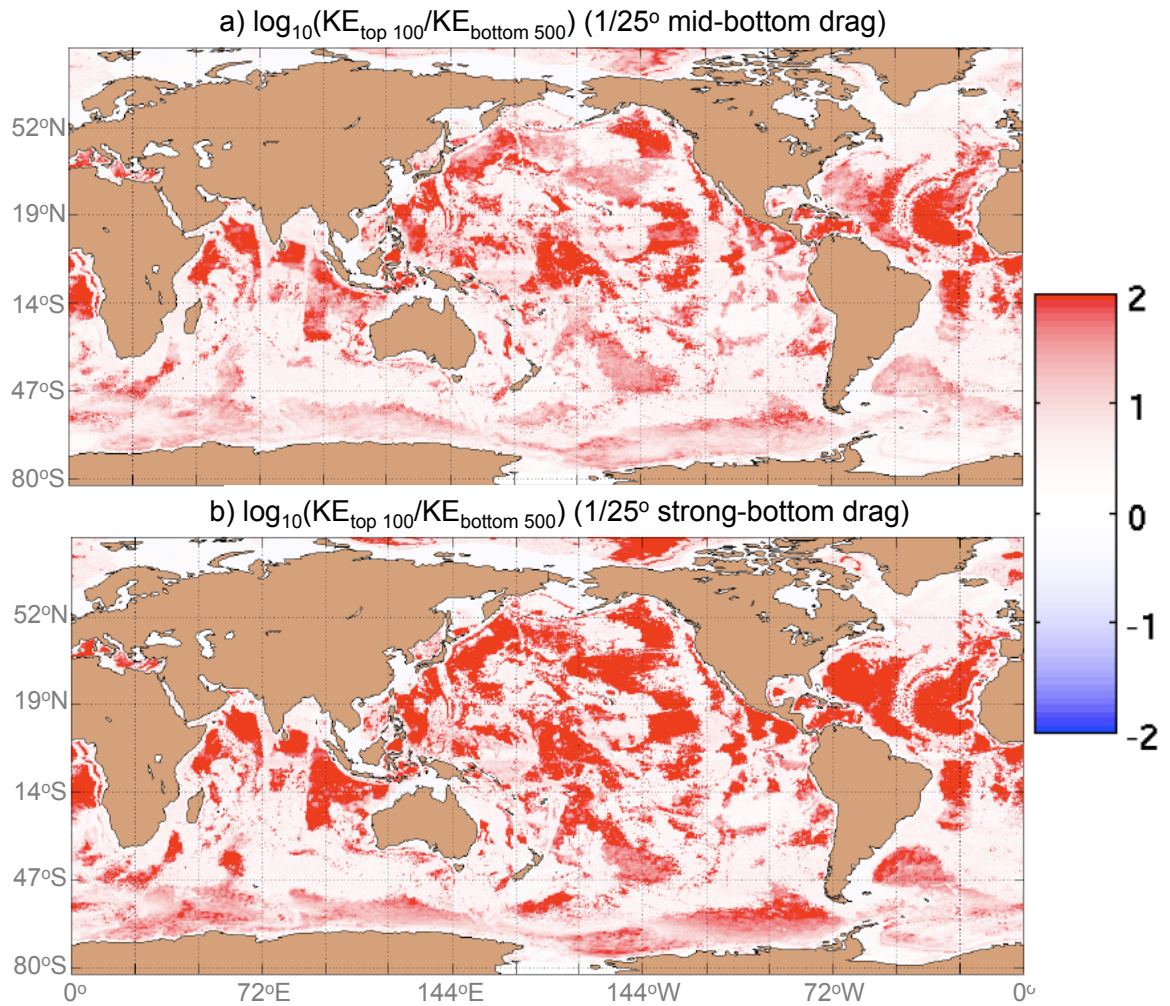


FIG. 4. (a) The horizontal locations (magenta circles) of the current meter observations used in this study. (b) The geometric averages (solid curves) of the kinetic energy profiles over all of these horizontal locations. Panel b employs daily-averaged output of the strong-bottom drag  $1/25^\circ$  global HYCOM simulation (red), mid-bottom drag  $1/25^\circ$  global HYCOM simulation (blue), and low-pass filtered current meter observations (black).



898 FIG. 5. Shown are the base-10 logarithms of the ratios of the kinetic energy (KE) averaged over the top 100  
 899 meters to that averaged over the bottom 500 meters, each computed as a time average over the final year of (a)  
 900 the mid-bottom drag 1/25° global HYCOM simulation and (b) the strong-bottom drag 1/25° global HYCOM  
 901 simulation.

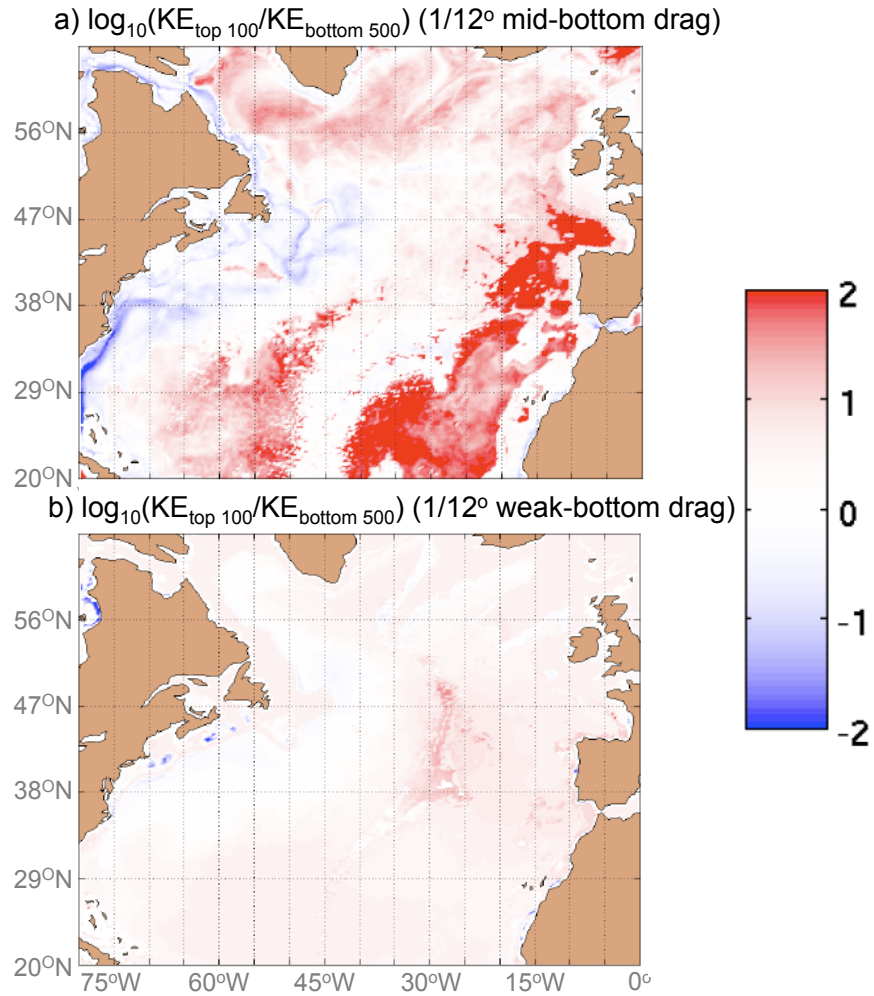


FIG. 6. Shown are the base-10 logarithms of the ratios of the kinetic energy (KE) averaged over the top 100 meters to that averaged over the bottom 500 meters, each computed as a time average over (a) the final year of the mid-bottom drag 1/12° Atlantic HYCOM simulation and (b) the weak-bottom drag 1/12° Atlantic HYCOM simulation.



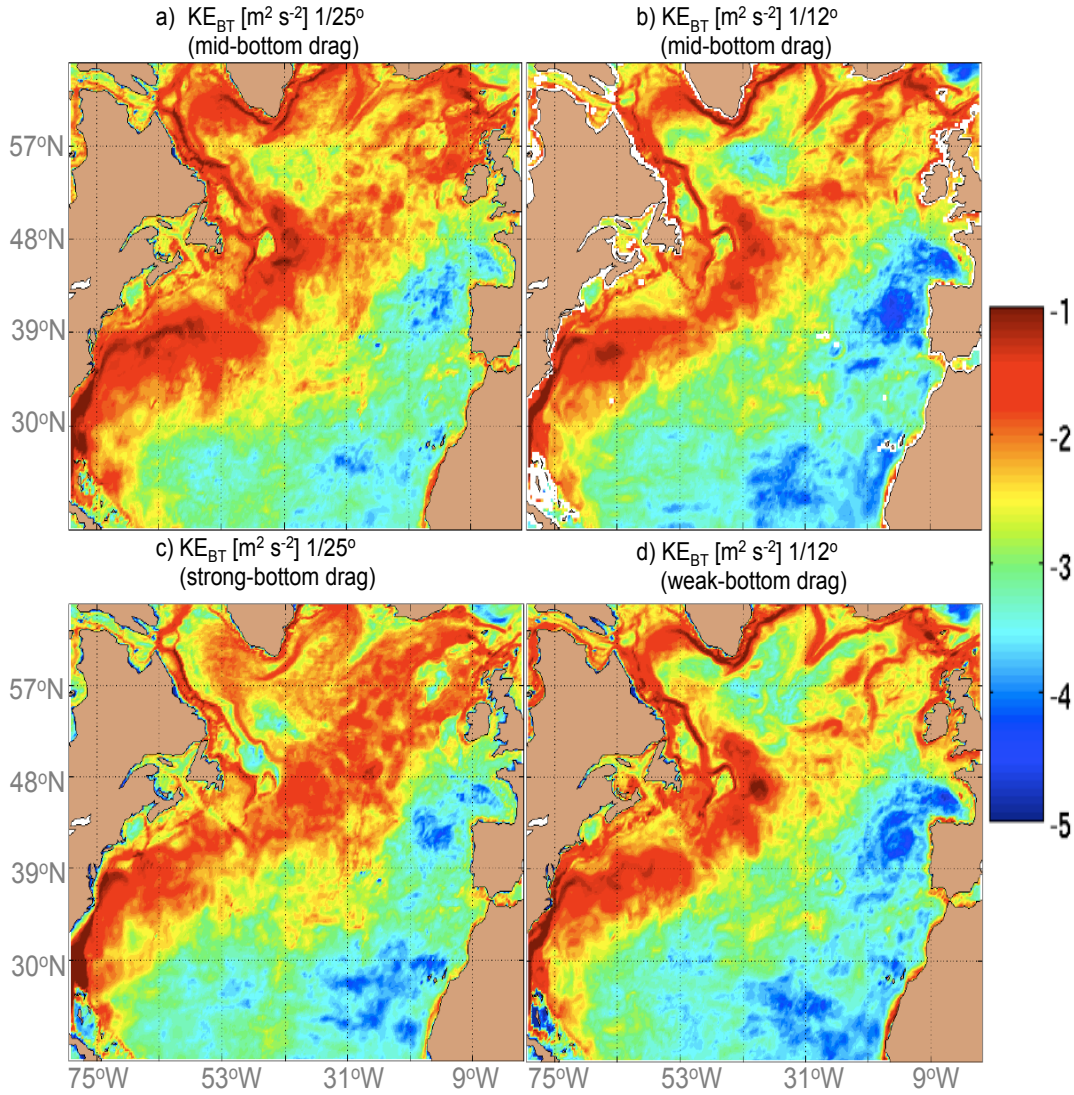
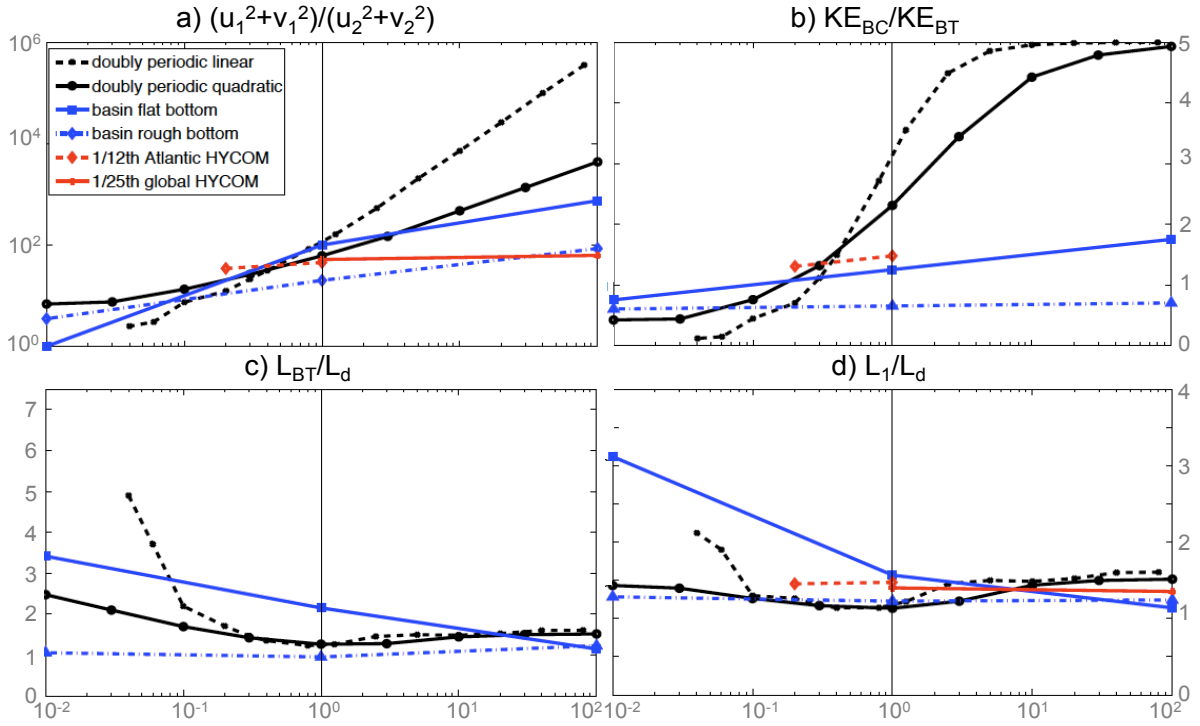


FIG. 7. Shown is the base-10 logarithm of the barotropic kinetic energy,  $KE_{BT}$  (units in  $m^2 s^{-2}$ ), averaged over the final year of (a) the mid-bottom drag  $1/25^\circ$  global HYCOM simulation, (c) the strong-bottom drag  $1/25^\circ$  global HYCOM simulation, (b) the mid-bottom drag  $1/12^\circ$  Atlantic HYCOM simulation, and (d) the weak-bottom drag  $1/12^\circ$  Atlantic HYCOM simulation.



910 FIG. 8. Shown are results from the horizontally homogeneous, two-layer, flat-bottom, f-plane, doubly periodic QG turbulence  
 911 simulations with linear and quadratic bottom drags from *Arbic and Flierl* (2004) and *Arbic and Scott* (2008); the QG  $\beta$ -plane  
 912 simulations with a flat bottom and rough bottom topography; and the  $1/12^\circ$  Atlantic and  $1/25^\circ$  global HYCOM simulations. We  
 913 show non-dimensional eddy statistics: (a) the ratio of the domain-averaged kinetic energy (KE) in the top layer (subscript 1) to that  
 914 in the bottom layer (subscript 2), (b) the domain-averaged ratio of the baroclinic KE to barotropic KE, (c) the domain-averaged  
 915 ratio of the eddy length scales associated with KE in the barotropic mode ( $L_{BT}$ ) to the Rossby radius of deformation ( $L_d$ ), and (d)  
 916 the domain-averaged ratio of the eddy length scales associated with KE in the upper layer ( $L_1$ ) to  $L_d$ . A domain average has been  
 917 taken over a region (between  $59.3^\circ - 39.3^\circ\text{W}$  and  $19.6^\circ - 39.6^\circ\text{N}$ ) very close to the one shown in Fig. 1 for the  $1/12^\circ$  Atlantic and  
 918  $1/25^\circ$  global HYCOM simulations. Over this domain,  $L_d$  is assumed to be 30 km for not only the QG simulations, but also for the  
 919 HYCOM simulations. The abscissa in each panel shows the nondimensional friction, as defined by *Arbic and Scott* (2008) for the  
 920 doubly periodic QG simulations, and as defined by the relative magnitude of  $C_d$  or  $r_{QG}$ , with respect to the control simulation, for  
 921 the HYCOM and QG basin simulations.

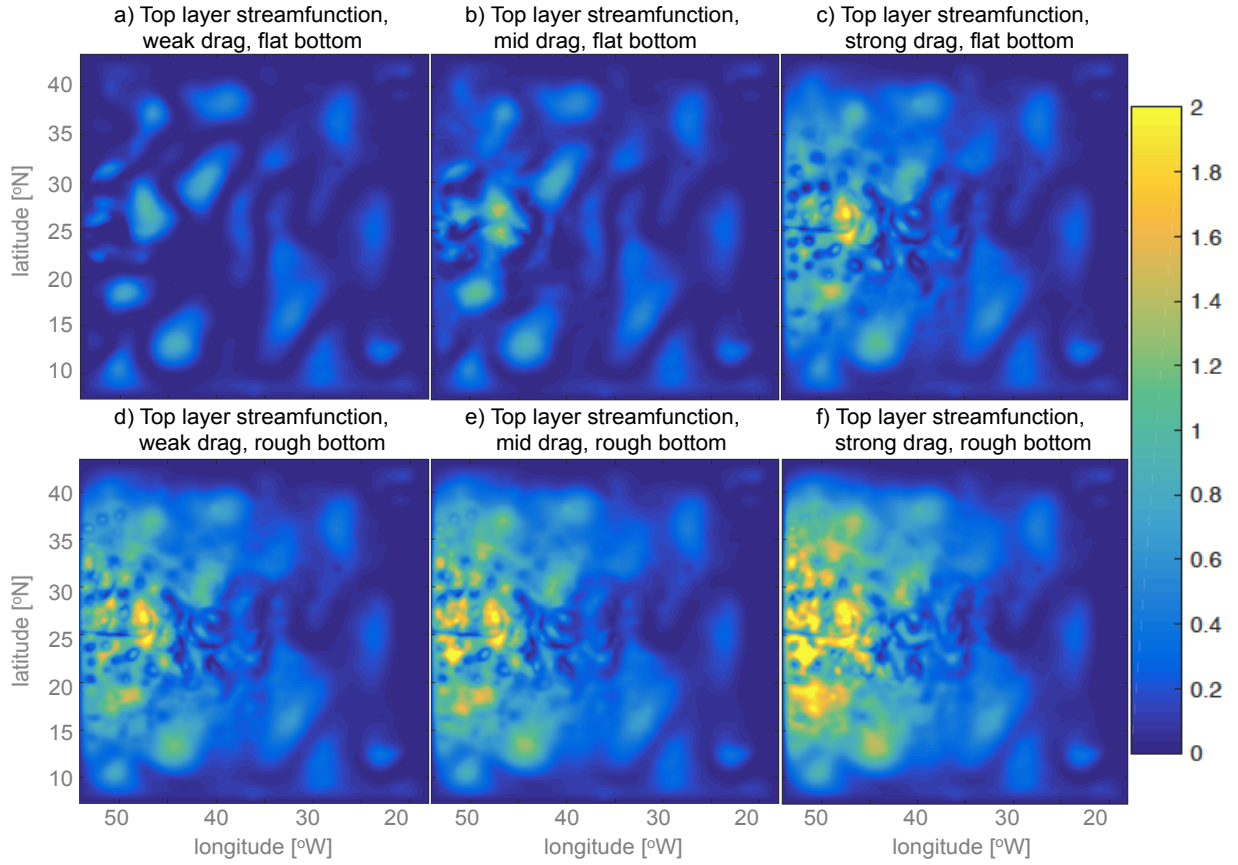


FIG. 9. Shown are representative snapshots of the streamfunction (units in  $\text{m}^2 \text{s}^{-1}$ ) in the top layer of the QG  $\beta$ -plane basin simulations with (a-c) a flat bottom and (d-f) rough bottom topography. The simulations use a linear bottom drag coefficient of (a,d)  $8 \times 10^{-10} \text{ s}^{-1}$ , (b,e)  $8 \times 10^{-8} \text{ s}^{-1}$ , and (c,f)  $8 \times 10^{-6} \text{ s}^{-1}$ . The axes have the same latitude and longitude labels as in Fig. 1.

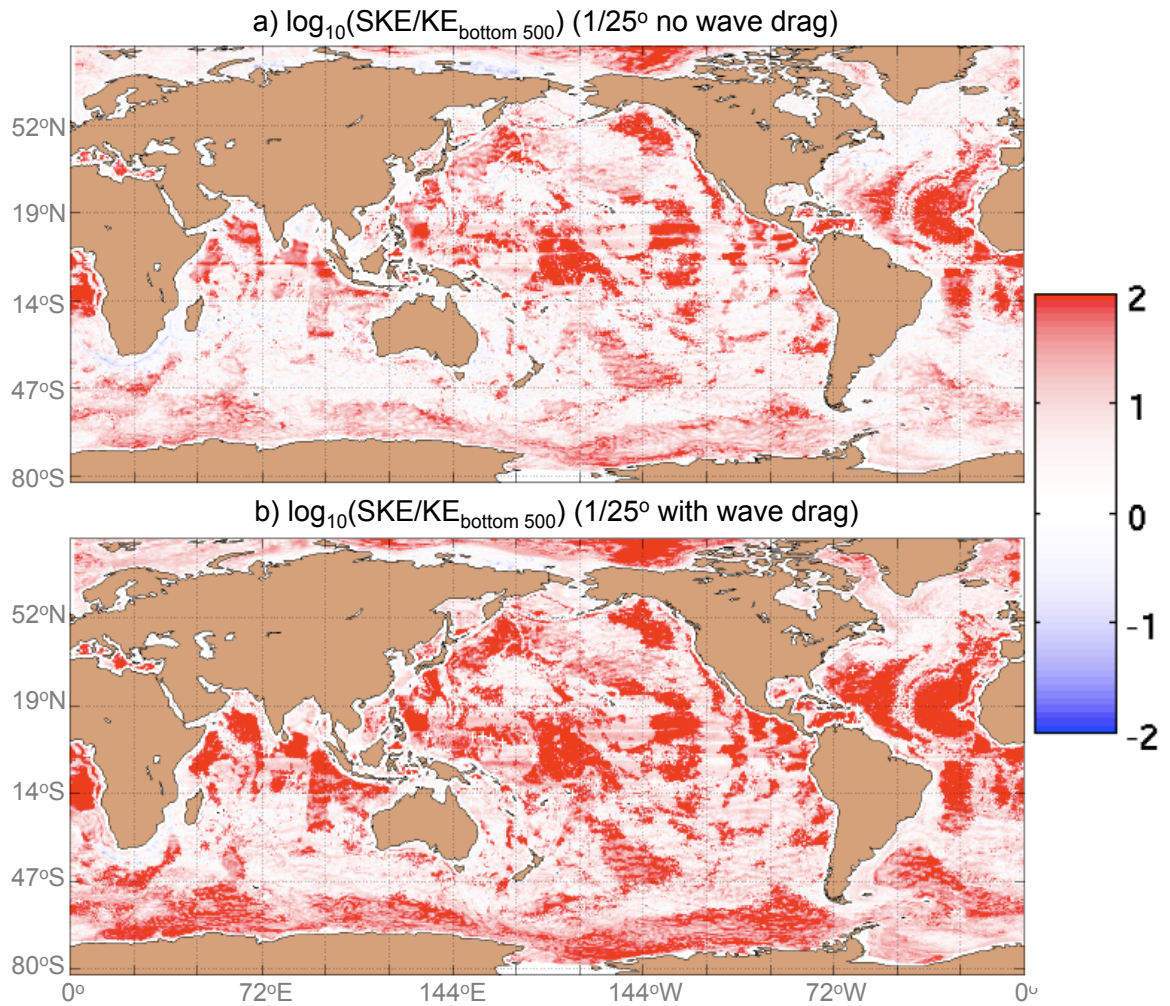


FIG. 10. Shown are the base-10 logarithms of the ratios of the geostrophic surface kinetic energy (KE) to the KE averaged over the bottom 500 meters, each computed as a time average over the final year of (a) the mid-bottom drag 1/25° global HYCOM simulation without wave drag and (b) the 1/25° global HYCOM simulation with wave drag.